

09-27-09

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Atty. Dkt. No. 355492-2971

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Richard S. BEIN, et al.

Title:

COMPOSITIONS FOR USE IN

EMBOLIZING BLOOD VESSELS COMPRISING HIGH LEVELS OF

**CONTRAST AGENT** 

Appl. No.:

10/796,604

Filing Date:

March 8, 2004

Examiner:

Not yet assigned

Art Unit:

1616

# CERTIFICATE OF EXPRESS MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. EV 512615521 US September 24, 2004 (Express Mail Label Number) (Date of Deposit) Rene Campos (Printed Name) (Signature)

## **TRANSMITTAL**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Information Disclosure Statement for the above-identified application, including:

- [X] PTO-1449 Form + copy;
- [X] Copy of International Search Report (5 pgs.);
- [X] Copy of cited references, 2 foreign patents & 2 articles;
- [X] Return Receipt Postcard.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check

being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 50-0872.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date Sept. 24, 2004

FOLEY & LARDNER LLP Three Palo Alto Square 3000 El Camino Real, Suite 100 Palo Alto, California 94306

Telephone: Facsimile:

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Attorney for Applicant Registration No. 50,782

Lorna Kune

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98. Also enclosed is a copy of the PCT International Search Report.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated August 5, 2003, *Information* 

Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

### RELEVANCE OF EACH DOCUMENT

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even

entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

Date Sept. 24, 2004

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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE		ATTY, DOCKET NO.		SERIAL NO.		
(MODIFIED)		PATENT AND TRADEMARK OFFICE		355492-2971		10/796,604		
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_	· .A	ON DISCLOSURE CITA	ATION	Richard S. BEIN, et al.				
SEP 2 4 2004	· []			FILING DATE		GROUP ART UNIT		
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U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
		5,925,683	7-20-99	Park	514	772.1	10-1	7-96
		6,454,738 B1	10-24-02	Tran, et al.	604	49 5-19		<del>)</del> -00
		US 2002/0187102 A1	12-12-02	Evans, et al.	424	9.411	6-5	-02
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
		WO 00/56370	3-20-00	PCT				
		WO 00/71170 A1	5-19-00	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
F. Mottu, et al., "lodine-containing cellulose mixed esters as radiopaque polymers for direct embolization of cerebral aneurysms and arteriovenous malformations", Biomaterials 23, (2002), pp. 121-131								
Wright, et al., "Experimental evaluation of cellulose acetate NF and ethylene-vinyl alcohol copolymer for selective arterial embolization", J. Vasc. Interv. Radiol., V10(9), Oct. 1999, pp. 1207-1218								
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EXAMINER				DATE CONSIDERED				
line	through	Initial if citation con n citation if not in cor tion to applicant.						